

NVM Express over Fabrics Revision 1.0 June 5, 2016

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# **Table of Contents**

1	INTRO	DUCTION	. 5
	1.1 Sc	ope	5
	1.2 Ou	itside of Scope	5
	1.3 Cc	nventions	5
	1.4 De	finitions	6
	1.4.1	association	6
	1.4.2	authentication commands	6
	1.4.3		6
	1.4.4	Discovery controller	0 6
	1.4.5	dynamic controller	0 6
	1.4.7	fabric (network fabric)	6
	1.4.8	NVMe Transport	6
	1.4.9	NVMe Transport binding specification	6
	1.4.10	port (NVM subsystem port)	6
	1.4.11	Port ID	6
	1.4.12	property	6
	1.4.13	static controller	0 7
	1.5 10	Eabrice and Transporte	. /
	1.5.1	NV/M Subsystem	0 Q
	1.5.2	Capsules and Data Transfer	10
	1.5.4	Command Sets	11
	1.5.5	Properties	12
	1.5.6	Discovery	12
	1.5.7	Connection	12
	1.5.8	Authentication	13
2	CAPSU	I FS AND DATA TRANSFERS	14
-	0/11 00		
	2.1 Co	mmand Capsules	14
	2.2 Re	sponse Capsules	15
	2.2.1	Status Values	16
	2.3 Da		16
	2.3.1	Data and SGL Locations within a Command Capsule	10
	2.3.2	Data Halister Examples	18
	2.4 QU		10
3	Сомм	ANDS	20
	0 4 A.	theretication Resair a Command and Response	20
	3.1 Au	theritication Receive Command and Response	20
	3.2 Au	Inentication Send Command and Response	21
	3.3 00	nnect Command and Response	22
	3.4 Pr	operty Get Command and Response	25
	3.5 Pro	Deny Set Command and Response	20
	5.5.1	Property Deminitions	21
4	CONTR	OLLER ARCHITECTURE	29
	1 1 I J.	satify Controller Date Structure Enhancements	20
	4.1 106	entity Controller Data Structure Ennancements	29
	4.2 Co		29
	4.3 QL	leue Initialization and Queue State	30
	4.4 INI		30
	4.5 Sh	uluown	32
5	Disco	VERY SERVICE	33
-			-
	5.1 Dis	scovery Controller Initialization	33
	5.2 Dis	scovery Controller Properties and Command Support	34

ţ	5.3	Discovery Log Page (Log Identifier 70h)	35
6	Αυτ	THENTICATION	39
(	5.1 5.2 6.2.1 6.2	Fabric Secure Channel	39 39 40 40
7	TRA	ANSPORT DEFINITION 4	11
-	7.1	Transport Requirements	41
	7.1.1	1 Submission Queue Head Pointer Update Optimization	41
	7.1.2	2 Keep Alive	42
-	7.2	Transport Capsule and Data Binding: Fibre Channel	42
-	7.3	Transport Capsule and Data Binding: RDMA	42
	7.3.1	1 Transport Overview	43
	7.3.2	2 Capsules and SGLs	44
	7.3.3	3 Queue Mapping	45
	7.3.4	4 Capsule and Data Exchange	45
	7.3.5	5 Keep Alive Settings	46
	7.3.6	5 Setup and Initialization	46
	7.	3.6.1 Transport Specific Address Subtype	46
	7.	3.6.2 Fabric Dependent Settings	47
	7.3.7	7 Key Management	48
	7.3.8	3 Error Handling	49

# 1 Introduction

NVM Express (NVMe) 1.2.1 and prior revisions define a register level interface for host software to communicate with a non-volatile memory subsystem over PCI Express (NVMe over PCIe). This specification defines extensions to NVMe that enable operation over other interconnects (NVMe over Fabrics). The NVM Express revision 1.2.1 specification is referred to as the NVMe Base specification.

The mapping of extensions defined in this document to a specific NVMe Transport are defined in an NVMe Transport binding specification. This document contains an NVMe Transport binding specification for RDMA. The NVMe Transport binding specification for Fibre Channel is defined in INCITS 540 Fibre Channel – Non-Volatile Memory Express (FC-NVMe), refer to http://www.incits.org.

# 1.1 Scope

This specification defines extensions to NVMe that enable operation over a fabric other than PCI Express (PCIe). This specification supplements the NVMe Base specification.

# 1.2 Outside of Scope

Functionality that is applicable only to NVMe over PCIe or to both NVMe over PCIe and NVMe over Fabrics is defined in the NVMe Base specification.

This specification defines requirements and behaviors that are implementation agnostic. The implementation of these requirements and behaviors are outside the scope of this specification. For example, an NVM subsystem that follows this specification may be implemented by an SSD that attaches directly to a fabric, a device that translates between a fabric and a PCIe NVMe SSD, or software running on a general purpose server.

NVMe over Fabrics 1.0 is intentionally limited in scope to define essential functionality. Restrictions that may be removed in future revisions include:

- There is a 1:1 mapping of a single Submission Queue to a single Completion Queue. NVMe over Fabrics does not support the mapping of Multiple Submission Queues to a single Completion Queue.
- There is no flow control for completions at the NVM Express layer. Therefore, Completion Queues should be sized to support the maximum number of outstanding commands.
- Metadata (if supported) is transferred as a contiguous part of logical blocks. Specifically, transferring metadata as a separate buffer of data is not supported.

Other published specifications referred to in this document, even if required for compliance, are outside the scope of this specification; this includes published specifications for fabrics and other technologies referred to by this document or any NVMe Transport binding specification.

#### 1.3 Conventions

NVMe over Fabrics definition conforms to the byte, word, and Dword relationships defined in section 1.8 of the NVMe Base specification. This includes specifying all data in little endian format unless otherwise noted.

A Discovery controller is a type of controller that supports minimal functionality required for the Discovery Log Page to be retrieved. The use of the term "controller" in the NVMe Base specification and in this document refers to requirements that apply to all controllers or specifically to a controller that may expose namespaces. When a requirement applies to only a Discovery controller, the specification includes the complete term "Discovery controller".

### 1.4 Definitions

#### 1.4.1 association

An exclusive communication relationship between a particular controller and a particular host that encompasses the Admin Queue and all I/O Queues of that controller.

#### 1.4.2 authentication commands

Used to refer to Fabrics Authentication Send or Authentication Receive commands.

#### 1.4.3 capsule

An NVMe unit of information exchange used in NVMe over Fabrics. A capsule contains a command or response and may optionally contain command/response data and SGLs.

#### 1.4.4 Discovery controller

A controller that supports minimal functionality and only implements the required features that allow the Discovery Log Page to be retrieved. A Discovery controller does not implement I/O Queues or expose namespaces.

#### 1.4.5 Discovery Service

An NVM subsystem that supports Discovery controllers only. A Discovery Service shall not support a controller that exposes namespaces.

#### 1.4.6 dynamic controller

The controller is allocated on demand with no state (e.g., Feature settings) preserved from prior associations.

#### 1.4.7 fabric (network fabric)

A network topology in which nodes pass data to each other through interconnecting switches.

#### 1.4.8 NVMe Transport

A protocol layer that provides reliable delivery of data, commands, and responses between a host and an NVM subsystem. The NVMe Transport layer is layered on top of the fabric. It is independent of the fabric physical interconnect and low level fabric protocol layers.

#### 1.4.9 NVMe Transport binding specification

A specification of reliable delivery of data, commands, and responses between a host and an NVM subsystem for an NVMe Transport. The binding may exclude or restrict functionality based on the NVMe Transport's capabilities.

#### 1.4.10 port (NVM subsystem port)

A collection of one or more physical fabric interfaces that together act as a single interface between the NVM subsystem and a fabric.

#### 1.4.11 Port ID

A 16-bit identifier that is associated with an NVM subsystem port.

#### 1.4.12 property

The generalization of memory mapped controller registers defined for NVMe over PCIe. Properties are used to configure low level controller attributes and obtain low level controller status.

#### 1.4.13 static controller

The controller is pre-existing with a specific Controller ID and its state (e.g., Feature settings) is preserved from prior associations.

### 1.5 Theory of Operation

NVMe over Fabrics builds on the architecture, command sets, and queueing interface defined in the NVMe Base specification. A central goal of NVMe over Fabrics is to maintain consistency with the base definition and only deviate where necessary in order to support general fabrics. Extensions defined by NVMe over Fabrics include:

- The use of capsules for commands, responses, and optionally for data transfers.
- The extension of Scatter Gather Lists (SGLs) to support in-capsule data as well as NVMe Transports that utilize a key/offset memory addressing architecture.
- Extensions to the queueing model that enable the use of underlying capabilities provided by some NVMe Transports.
- A method for a host to establish a connection to a controller's Admin or I/O Queue within a specific NVM subsystem. This method includes an authentication procedure that may be used to authenticate the host and controller identities.
- The generalization of controller PCI Express Memory Mapped I/O (MMIO) registers to properties that may be accessed by a host over any type of NVMe Transport.
- A discovery mechanism for a host to determine which NVM subsystems may be accessed.

NVMe over Fabrics has the following differences from the NVMe Base specification:

- There is a one-to-one mapping between I/O Submission Queues and I/O Completion Queues. NVMe over Fabrics does not support multiple I/O Submission Queues being mapped to a single I/O Completion Queue.
- NVMe over Fabrics does not define an interrupt mechanism that allows a controller to generate a host interrupt. It is the responsibility of the host fabric interface (e.g., Host Bus Adapter) to generate host interrupts.
- NVMe over Fabrics does not use the Create I/O Completion Queue, Create I/O Submission Queue, Delete I/O Completion Queue, and Delete I/O Submission Queue commands. NVMe over Fabrics does not use the Admin Submission Queue Base Address (ASQ), Admin Completion Queue Base Address (ACQ), and Admin Queue Attributes (AQA) properties (i.e., registers in PCI Express). Queues are created using the Connect Fabrics command.
- If metadata is supported, it may only be transferred as a contiguous part of the logical block. NVMe over Fabrics does not support transferring metadata from a separate buffer.
- NVMe over Fabrics does not support PRPs but requires use of SGLs for Admin, I/O, and Fabrics commands. This differs from NVMe over PCIe where SGLs are not supported for Admin commands and are optional for I/O commands.
- NVMe over Fabrics does not support Completion Queue flow control. This requires that the host ensures there are available Completion Queue slots before submitting new commands.

While differences exist between NVMe over Fabrics and NVMe over PCIe implementations, both implement the same architecture and command sets. The shared characteristics include:

- A scalable host controller interface
- Optimized command submission and completion paths
- Support for parallel operation that supports up to (64K 1) I/O queues per controller with up to (64K 1) outstanding commands per I/O queue
- Support for Enterprise capabilities such as end-to-end data protection
- Robust error reporting and management capabilities
- Priority associated with each I/O queue with a well-defined controller queue arbitration mechanism
- Efficient and streamlined command set
- Support for multiple namespaces and namespace management
- Support for multi-path I/O and namespace sharing

### 1.5.1 Fabrics and Transports

NVMe over Fabrics requires the underlying NVMe Transport to provide reliable NVMe command and data delivery. An NVMe Transport is an abstract protocol layer independent of any physical interconnect properties. A taxonomy of NVMe Transports along with examples is shown in Figure 1. An NVMe Transport may expose a memory model, a message model, or a combination of the two. A memory model is one in which data is transferred between fabric nodes by performing explicit memory read and write operations while a message model is one in which only messages are sent from a source node to destination node. The only memory model NVMe Transport supported by NVMe is PCI Express, as defined in the NVMe Base specification. Message model and message/memory model NVMe Transports are specified in this document.



NVMe over Fabrics utilizes the protocol layering shown in Figure 2. This specification defines core aspects of the architecture that are independent of the NVMe Transport. An NVMe Transport binding specification is used to describe any NVMe Transport specific specialization as well as how the services required by NVMe are mapped onto the corresponding NVMe Transport. The native fabric communication services and other functionality used by NVMe and NVMe Transports (e.g., the Fabric Protocol and Fabric Physical layers in Figure 2) are outside the scope of this specification.

NVMe over Fabrics	NVMe Architecture, Queuing Interface Admin Command & I/O Command Sets, Properties
Transport Binding	Fabric Specific Properties, Transport Specific Features/Specialization
Specification	NVMe Transport Binding Services
NVMe Transport	NVMe Transport
	Fabric Protocol (may include multiple fabric protocol layers)
Fabric	
	Fabric Physical (e.g., Ethernet, InfiniBand, Fibre Channel)

#### Figure 2: NVMe over Fabrics Layering

#### 1.5.2 NVM Subsystem

NVMe over Fabrics builds on the NVM subsystem architecture defined in the NVMe Base specification. An NVM subsystem presents a collection of one to (64K - 16) controllers which are used to access namespaces. The controllers may be associated with hosts through one to 64K NVM subsystem ports.

An NVM subsystem port (port) is a collection of one or more physical fabric interfaces that together act as a single interface between the NVM subsystem and a fabric. When link aggregation (e.g., Ethernet) is used, the physical ports for the group of aggregated links constitute a single NVM subsystem port.

Each NVM subsystem port has a 16-bit port identifier (Port ID). An NVM subsystem port is identified by the NVM Subsystem NQN and Port ID. The ports of an NVM subsystem may support different NVMe Transports. An NVM subsystem port may support multiple NVMe Transports if more than one NVMe Transport binding specifications exist for the underlying fabric (e.g., an NVM subsystem port identified by a Port ID may support both iWARP and RoCE). An NVM subsystem implementation may bind specific controllers to specific ports or allow the flexible allocation of controllers between ports.

A controller is associated with exactly one host at a time. NVMe over Fabrics allows multiple hosts to connect to different controllers in the NVM subsystem through the same port. All other aspects of NVMe over Fabrics multi-path I/O and namespace sharing are equivalent to that defined in the NVMe Base specification.

An NVM subsystem may optionally include a non-volatile storage medium, and an interface between the controller(s) of the NVM subsystem and the non-volatile storage medium. Controllers expose this non-volatile storage medium to hosts through namespaces. An NVM subsystem is not required to have the same namespaces attached to all controllers. An NVM subsystem may support controllers that expose namespaces or Discovery controllers; but it does not expose a mix of controller types. A Discovery Service is an NVM subsystem that exposes Discovery controllers only.

An association is established between a host and a controller when the host connects to a controller's Admin Queue using the Fabrics Connect command. Within the Connect command, the host specifies the Host NQN, NVM Subsystem NQN, Host Identifier, and may request a specific Controller ID or indicate that it may accept a connection to any available controller.

The NVM subsystem may support a dynamic or static controller model. In a dynamic controller model, the controller is allocated by the NVM subsystem on demand with no state (e.g., Feature settings) preserved from prior associations. In a static controller model, the host may request a particular controller based on the Controller ID where state (e.g., Feature settings) is preserved from prior associations. Refer to section 4.2.

While an association exists between a host and a controller, only that host may establish connections with I/O Queues of that controller by presenting the same Host NQN, Host Identifier, NVM Subsystem NQN and Controller ID in subsequent Connect command(s) using the same NVM subsystem port, NVMe Transport type, and NVMe Transport address.

An association exists until the controller is shutdown, a Controller Level Reset, or the NVMe Transport connection is lost between the host and controller for the Admin or any I/O Queue. There is no explicit NVMe command that breaks the NVMe Transport connection between a host and controller. While a controller is associated to a host the controller is busy and no other associations may be made to that controller.

# 1.5.3 Capsules and Data Transfer

A capsule is an NVMe unit of information exchange used in NVMe over Fabrics. A capsule may be classified as a command capsule or a response capsule. A command capsule contains a command (formatted as a Submission Queue Entry) and may optionally include SGLs or data. A response capsule contains a response (formatted as a Completion Queue Entry) and may optionally include SGLs or data. Data refers to any data transferred at an NVMe layer between a host and an NVM subsystem (e.g., logical block data or a data structure associated with a command). A capsule is independent of any underlying NVMe Transport unit (e.g., packet, message, or frame and associated headers and footers) and may consist of multiple such units.

Command capsules are transferred from a host to an NVM subsystem. The SQE contains an Admin, I/O or Fabrics command. The minimum size of a command capsule is NVMe Transport binding specific, but shall be at least 64B in size. The maximum size of a command capsule is NVMe Transport binding specific. The format of a command capsule is shown in Figure 3.



Response capsules are transferred from an NVM subsystem to a host. The CQE is associated with a previously issued Admin, I/O or Fabrics command. The size of a response capsule is NVMe Transport binding specific, but shall be at least 16B in size. The maximum size of a response capsule is NVMe Transport binding specific. The format of a response capsule is shown in Figure 4.

#### Figure 4: Response Capsule Format



Response Capsule of Size N Bytes

Message model NVMe Transports require all SGL information and data from the host to the controller associated with a command be transferred within the command capsule and any controller to host data be transferred in the response capsule. Message and memory model NVMe Transports may optionally support the transfer of a portion or all SGL information and data within the command and response capsules.

NVMe over PCIe commands use PRPs and optionally SGLs to specify data transfer memory regions. NVMe over Fabrics requires SGLs for all commands (Fabrics, Admin, and I/O). An SGL may specify the placement of data within a capsule or the information required to transfer data using an NVMe Transport specific data transfer mechanism (e.g., via memory transfers as in RDMA). The NVMe Transport binding specification defines the SGLs used by a particular NVMe Transport and any capsule SGL and data placement restrictions.

#### 1.5.4 **Command Sets**

As shown in Figure 5. NVMe over Fabrics supports three Command Sets. The Fabrics Command Set is NVMe over Fabrics specific. The Admin and I/O Command Sets are defined by the NVMe Base specification.

NVMe over Fabrics Command Sets						
Fabrics Command Set	I/O Command Set					
		NVM Cmd Set	Rsvd #1	Rsvd #2		Rsvd #7

#### Figure 5: NVMe over Fabrics Command Sets

Fabrics Command Set commands are used for operations specific to NVMe over Fabrics including establishing a connection, NVMe in-band authentication, and to get or set a property. All Fabrics commands may be submitted on the Admin Queue and some Fabrics commands may also be submitted on an I/O Queue. Unlike Admin and I/O commands, Fabrics commands are processed by a controller regardless of whether the controller is enabled (i.e., regardless of the state of CC.EN).

This specification assumes an SQE size of 64B and CQE size of 16B as defined by the NVM Command Set.

### 1.5.5 Properties

Properties are the NVMe over Fabrics analog of memory mapped NVMe controller registers defined for NVMe over PCIe. Properties are used to configure low level controller attributes and obtain low level status.

A host may obtain the value of a property by using the Property Get command and may modify the value of a property by using the Property Set command that are part of the Fabrics Command Set. Properties are only accessible via the Admin Queue.

Some controller registers or fields are specific to PCIe functionality (e.g., Submission Queue Doorbell registers) and are not used in NVMe over Fabrics. As a result, a subset of controller registers and fields defined in the NVMe Base specification map to properties in NVMe over Fabrics (refer to section 3.5.1).

#### 1.5.6 Discovery

NVMe over Fabrics defines a discovery mechanism that a host may use to determine the NVM subsystems the host may access. A Discovery controller supports minimal functionality and only implements the required features that allow the Discovery Log Page to be retrieved. A Discovery controller does not implement I/O Queues or expose namespaces. A Discovery Service is an NVM subsystem that exposes only Discovery controllers.

The Discovery Log Page provided by a Discovery controller contains one or more entries. Each entry specifies information necessary for the host to connect to an NVM subsystem via an NVMe Transport. An entry may specify an NVM subsystem that exposes namespaces that the host may access, or a referral to another Discovery Service. The maximum referral depth supported is eight levels.

The method that a host uses to obtain the information necessary to connect to the initial Discovery Service is implementation specific. This information may be determined using a host configuration file, a hypervisor or OS property or some other mechanism.

# 1.5.7 Connection

NVMe over Fabrics uses the Connect command to create controller Admin or I/O Queues. The creation of an Admin Queue establishes an association between a host and the corresponding controller. NVMe over Fabrics does not support the Admin Submission Queue Base Address (ASQ), Admin Completion Queue Base Address (ACQ), and Admin Queue Attributes (AQA) properties as all information necessary to establish an Admin Queue is contained in the Connect command. NVMe over Fabrics does not support the Admin commands associated with I/O Queue creation and deletion (Create I/O Completion Queue, Create I/O Submission Queue, Delete I/O Completion Queue, Delete I/O Submission Queue) defined in the NVMe Base specification.

An NVMe Transport connection is established between a host and an NVM subsystem prior to the transfer of any capsules or data. The mechanism used to establish an NVMe Transport connection is NVMe Transport specific and defined by the corresponding NVMe Transport binding specification. The NVMe Transport may require a separate NVMe Transport connection for each Admin or I/O Queue or may utilize the same NVMe Transport connection for all Admin and I/O Queues associated with a particular controller. An NVMe Transport may also require that NVMe layer information be passed between the host and controller in the process of establishing an NVMe Transport connection (e.g., exchange queue size to appropriately size send and receive buffers).

The Connect command specifies the Queue ID and type (Admin or I/O), the size of the Submission and Completion Queues, queue attributes, Host NQN, NVM Subsystem NQN, and Host Identifier. The Connect command may specify a particular controller if the NVM subsystem supports a static controller model. The Connect response indicates whether the connection was successfully established as well as whether NVMe in-band authentication is required.

When a Connect command successfully completes, the corresponding Submission and Completion Queues are created. If NVMe in-band authentication is required as indicated in the Connect response, then NVMe in-band authentication shall be performed before the queues may be used to perform other Fabrics, Admin, or I/O commands. Once a Connect command for an Admin Queue has completed successfully (and NVMe in-band authentication if required), only Fabrics commands may be submitted until the controller is ready (CSTS.RDY = 1). Both Fabrics and Admin commands may be submitted to the Admin Queue while the controller is ready. Once a Connect command for an I/O Queue has completed successfully (and NVMe in-band authentication if required), I/O commands may be submitted to the queue.

The Connect response contains the controller ID allocated to the host. All subsequent Connect commands that create an I/O Queue with that controller shall be from the same host, utilize the same NVMe Transport, and have the same Host Identifier, Host NQN, and NVM Subsystem NQN; if any of these conditions do not hold then the Connect command fails.

# 1.5.8 Authentication

NVMe over Fabrics supports both fabric secure channel (that includes authentication) and NVMe in-band authentication. An NVM subsystem may require a host to use fabric secure channel, NVMe in-band authentication, or both. The Discovery Service indicates if fabric secure channel shall be used for an NVM subsystem. The Connect response indicates if NVMe in-band authentication shall be used with that controller.

A controller associated with an NVM subsystem that requires a fabric secure channel shall not accept any commands (Fabrics, Admin, or I/O) on an NVMe Transport until a secure channel is established. Following a Connect command, a controller that requires NVMe in-band authentication shall not accept any commands other than authentication commands until NVMe in-band authentication has completed. Refer to section 6.

# 2 Capsules and Data Transfers

This section describes capsules and data transfer mechanisms. These mechanisms are used for Fabrics commands, Admin commands, and I/O commands.

A capsule is an NVMe unit of information exchanged between a host and a controller. A capsule may contain commands, responses, SGLs, and/or data. The data may include logical block data and metadata that is transferred as a contiguous part of the logical block, and data structures associated with the command.

The capsule size for the Admin Queue commands and responses is fixed and defined in the NVMe Transport binding specification. The controller indicates in the Identify Controller data structure the capsule command and response sizes that the host shall use with I/O commands.

The controller shall support SGL based data transfers for commands on both the Admin Queue and I/O Queues. Data may be transferred within the capsule or through memory transactions based on the underlying NVMe Transport as indicated in the SGL descriptors associated with the command capsule. The SGL types supported by an NVMe Transport are specified in the NVMe Transport binding specification.

The value of unused and not reserved capsule fields (e.g., the capsule is larger than the command / response and associated data) is undefined and shall not be interpreted by the recipient.

# 2.1 Command Capsules

A command capsule is sent from a host to a controller. It contains a Submission Queue Entry (SQE) and may optionally contain data or SGLs. The SQE is 64 bytes in size and contains the Admin, I/O or Fabrics command to be executed.



### Figure 6: Command Capsule

The Command Identifier field in the SQE shall be unique among all outstanding commands associated with that queue. If there is data or additional SGLs to be transferred within the capsule then the SGL descriptor in the SQE contains a Data Block, Segment Descriptor, or Last Segment Descriptor specifying an appropriate Offset address. The definition for the Submission Queue Entry when the command is a Fabrics command is defined in Figure 7. The definition for the Submission Queue Entry when the command is an Admin or I/O command is defined in section 4.2 of the NVMe Base specification, where the Metadata Pointer field is reserved.

Byte	Description
00	Opcode (OPC): Set to 7Fh to indicate a Fabrics command.
01	Reserved
02.02	Command Identifier (CID): This field specifies a unique identifier for the command. The identifier
03.02	shall be unique among all outstanding commands associated with a particular queue.
04	Fabrics Command Type (FCTYPE): This field specifies the Fabrics command transferred in the
04	capsule. The Fabrics command types are defined in Figure 14.
39:05	Reserved
63:40	Fabrics Command Type Specific: This field is Fabrics command type specific.

Figure 7: Fabrics Command Capsule – Submission Queue Entry Format

#### 2.2 **Response Capsules**

A response capsule is sent from the NVM subsystem to the host. It contains a Completion Queue Entry (CQE) and may optionally contain data. The CQE is the completion entry associated with a previously issued command capsule.

If a command requests data and the SGL in the associated command capsule specifies a Data Block descriptor with an Offset, the data is included in the response capsule. If the SGL(s) in the command capsule specify a region in host memory, then data is transferred via memory transactions.

#### Figure 8: Response Capsule



Response Capsule of Size N Bytes

The Completion Queue Entry is 16 bytes in size and contains a two byte status field.

The definition for the Completion Queue Entry for a Fabrics command is defined in Figure 9. The definition for the Completion Queue Entry when the command is an Admin or I/O command is defined in section 4.6 of the NVMe Base specification, where the SQ Identifier and Phase Tag fields are reserved because they are not used in NVMe over Fabrics.

Byte	Descr	iption			
07:00	The de	efinition of	this field is Fabrics response type specific.		
09.08	SQ H	ead Poin	ter (SQHD): Indicates the current Submission Queue Head pointer for the		
00.00	associated Submission Queue.				
11:10	Reser	ved			
13:12	Command Identifier (CID): Indicates the identifier of the command that is being completed.				
	Status	s <b>(STS):</b> S	pecifies status for the associated Fabrics command.		
15:14		Bit	Definition		
		15:1	Status Field as defined in section 4.6.1 of the NVMe Base specification.		
		00	Reserved		

Cinuma O. Cabrica	Deenemee	Comoulo	Completion	Ower Frates	
Figure 9: Fabrics	Response	Capsule –	Completion	Queue Entry	Format

# 2.2.1 Status Values

Fabrics commands use the status for commands defined in the NVMe Base specification. The Status Field defined in section 4.6.1 defines the status for Fabrics, Admin, and I/O commands.

Fabrics commands use an allocation of command specific status values from 80h-BFh (refer to Figure 31 of the NVMe Base specification). Refer to Figure 10.

Value	Description	<b>Commands Affected</b>
80h	Connect Incompatible Format: The NVM subsystem does not support the	Connect
	Connect Record Format specified by the host.	
81h	Connect Controller Busy: The controller is already associated with a host. This	Connect
	value is also returned if there is no available controller.	
82h	Connect Invalid Parameters: One or more of the parameters (Host NQN,	Connect
	Subsystem NQN, Host Identifier, Controller ID, Queue ID) specified are not valid.	
83h	<b>Connect Restart Discovery:</b> The NVM subsystem requested is not available.	Connect
	The host should restart the discovery process.	
84h	Connect Invalid Host: The host is not allowed to establish an association to any	Connect
	controller in the NVM subsystem or the host is not allowed to establish an	
	association to the specified controller.	
85h –	Reserved	
8Fh		
90h	Discover Restart: The snapshot of the records is now invalid or out of date. The	Get Log Page
	host should re-read the Discovery Log Page.	
91h	Authentication Required: NVMe in-band authentication is required and the	NOTE 1
	queue has not yet been authenticated.	
92h –	Reserved	
BFh		
NOTES:		
1. All co	mmands other than Connect, Authenticate Send, and Authenticate Receive.	

#### Figure 10: Fabrics Command Specific Status Values

# 2.3 Data Transfers

Data may be transferred within capsules or by memory transfers. SGLs are used to specify the location of data. If metadata is transferred, it is a contiguous part of the logical block with which it is associated. The SGL descriptor(s) (refer to section 4.4 in the NVMe Base specification) specify whether the command's data is transferred through memory or within the capsule. The capsule may contain either SGLs or data (not a mixture of both) following the SQE. If additional SGLs are required then the SGLs are included in the capsule immediately after the SQE. If an invalid offset is specified in an SGL descriptor, then a status value of SGL Offset Invalid shall be returned.

SGLs shall be supported within a capsule. The NVMe Transport binding specification defines the SGL Descriptor Types and Sub Types that are supported for the corresponding NVMe Transport. The NVMe Transport binding specification also specifies if SGLs may be supported in host memory.

# 2.3.1 Data and SGL Locations within a Command Capsule

The Submission Queue Entry within the command capsule includes one SGL entry. If there are additional SGL entries to be transferred in the command capsule, then those entries shall be contiguous and located immediately after the Submission Queue Entry.

An NVMe Transport binding specification defines the support for data as part of the command capsule. The controller indicates the starting location of data within a command capsule via the In Capsule Data Offset (ICDOFF) field in the Identify Controller data structure.

There are restrictions for SGLs that the host needs to follow:

- If ICDOFF is a non-zero value, then all the SGL descriptors following the Submission Queue Entry shall not have a total size greater than (ICDOFF \* 16); and
- the host shall not place more (Keyed) Data Block SGLs within a capsule than the maximum indicated in the Identify Controller data structure.

The host shall start data (if present) in command capsules at byte offset (ICDOFF \* 16) from the end of the Submission Queue Entry.



# Figure 11: Data and SGL Locations within a Command Capsule

#### 2.3.2 Data Transfer Examples

The data transfer examples in this section show SGL examples for a Write command where data is transferred via a memory transaction or within the capsule. The SGL may use a key as part of the data transfer depending on the requirements of the NVMe Transport used.

The first example shows an 8KB write where all of the data is transferred via memory transactions. In this case, there is one SGL descriptor that is contained within the Submission Queue Entry at CMD.SGL1. The SGL descriptor is a Keyed SGL Data Block descriptor. If more SGLs were needed to complete the command, the additional SGLs would be contained in the command capsule.



Figure 12: SGL Example Using Memory Transactions

The second example shows an 8KB write where all of the data is transferred within the capsule. In this case, the SGL descriptor is an SGL Data Block descriptor specifying an Offset of 20h based on an ICDOFF value of 2h.





# 2.4 Queue Flow Control Mechanism

Submission Queue flow control is facilitated by the use of the SQHD field in the Completion Queue Entry. The controller uses the SQHD field to communicate the availability of Submission Queue slots to the host. If the host submits a command capsule when no Submission Queue slots are available then the controller shall stop processing commands and set the Controller Fatal Status (CSTS.CFS) bit to '1'.

Completion Queue flow control is not used in NVMe over Fabrics. The host should size each Completion Queue to support the maximum number of commands that it has outstanding at one time for a particular queue. The Completion Queue size may be larger than the size of the corresponding Submission Queue. The Maximum Outstanding Commands (MAXCMD) value in the Identify Controller data structure indicates the maximum number of commands that the controller processes at one time for a particular queue to achieve the best performance. The host may use this value to size Completion Queues and optimize the number of commands submitted at one time per queue.

NVMe Transports are not required to provide any additional end-to-end flow control. Specific NVMe Transports may require low level flow control for congestion avoidance and reliability which is outside the scope of this specification.

# 3 Commands

Fabrics commands are used to create queues and initialize a controller. Fabrics commands have an Opcode field of 7Fh. Fabrics commands are processed regardless of the state of controller enable (CC.EN). The Fabrics command capsule is defined in section 2.1 and the Fabrics response capsule and status is defined in section 2.2.

Com	mand Type b	y Field	Combined				
(07)	(06:02)	(01:00)	Command	о/м <sup>1</sup>	2		
Generic	-	Data			O/M '	I/O Queue	Command
Command	Function	Transfer <sup>4</sup>	Туре-				
0b	000 00b	00b	00h	М	No	Property Set	
0b	000 00b	01b	01h	М	Yes	Connect	
0b	000 01b	00b	04h	М	No	Property Get	
0b	000 01b	01b	05h	0	Yes	Authentication Send	
0b	000 01b	10b	06h	0	Yes	Authentication Receive	
Vendor Specific							
1b	na	na	C0h – FFh	0		Vendor specific	
NOTES:							
1. O/M definition: O = Optional, M = Mandatory.							

Fiaure	14:	Fabric	Command	Types
. iguio			oominana	. , poo

2. Opcodes not listed are reserved.

3. All Fabrics commands may be submitted on the Admin Queue. The I/O Queue supports Fabrics commands as specified in this column.

4. 00b = no data transfer; 01b = host to controller; 10b = controller to host; 11b = reserved

#### 3.1 Authentication Receive Command and Response

The Authentication Receive command transfers the status and data result of one or more Authentication Send commands that were previously submitted to the controller.

The association between an Authentication Receive command and previous Authentication Send commands is dependent on the Security Protocol. The format of the data to be transferred is dependent on the Security Protocol. Refer to SPC-4 for Security Protocol details.

Authentication Receive commands return the appropriate data corresponding to an Authentication Send command as defined by the rules of the Security Protocol. The Authentication Receive command data shall not be retained if there is a loss of communication between the controller and host, or if a Controller Level Reset occurs.

Byte	Description
00	Opcode (OPC): Set to 7Fh to indicate a Fabrics command.
01	Reserved
03:02	<b>Command Identifier (CID):</b> This field specifies a unique identifier for the command. Refer to the definition in Figure 7.
04	Fabrics Command Type (FCTYPE): Set to 06h to indicate an Authentication Receive command.
23:05	Reserved
39:24	<b>SGL Descriptor 1 (SGL1):</b> This field contains the first SGL descriptor for the command. If the SGL descriptor is a (Keyed) Data Block descriptor, then it describes the entire data transfer. If more than one SGL descriptor is needed to describe the data transfer, then the first SGL descriptor is a Segment or Last Segment descriptor. Refer to section 4.4 of the NVMe Base specification for the definition of SGL descriptors.
40	Reserved

#### Figure 15 Authentication Receive Command – Submission Queue Entry

Byte	Description
41	SP Specific 0 (SPSP0): The value of this field contains bits 07:00 of the Security Protocol
41	Specific field as defined in SPC-4.
42	SP Specific 1 (SPSP1): The value of this field contains bits 15:08 of the Security Protocol
42	Specific field as defined in SPC-4.
	Security Protocol (SECP): This field specifies the security protocol as defined in SPC-4. The
43	controller shall fail the command with Invalid Parameter indicated if a reserved value of the
	Security Protocol is specified.
47.44	Allocation Length (AL): The value of this field is specific to the Security Protocol as defined in
47.44	SPC-4 where INC_512 is cleared to '0'.
63:48	Reserved

#### Figure 16: Authentication Receive Response

Byte	Description
07:00	Reserved
09:08	<b>SQ Head Pointer (SQHD):</b> Indicates the current Submission Queue Head pointer for the associated Submission Queue.
11:10	Reserved
13:12	Command Identifier (CID): Indicates the identifier of the command that is being completed.
15:14	Status (STS): Specifies status for the command.

#### 3.2 Authentication Send Command and Response

The Authentication Send command is used to transfer security protocol data to the controller. The data structure transferred as part of this command contains security protocol specific commands to be performed by the controller. The data structure may contain data or parameters associated with the security protocol specific commands. Status and data that is to be returned to the host for the security protocol specific commands submitted by an Authentication Send command are retrieved with the Authentication Receive command defined in section 3.1.

The association between an Authentication Send command and subsequent Authentication Receive commands is Security Protocol field dependent as defined in SPC-4.

Figure 17: Authentication Send Command – Submission Queue Entry

Byte	Description
00	Opcode (OPC): Set to 7Fh to indicate a Fabrics command.
01	Reserved
03:02	<b>Command Identifier (CID):</b> This field specifies a unique identifier for the command. Refer to the definition in Figure 7.
04	Fabrics Command Type (FCTYPE): Set to 05h to indicate an Authentication Send command.
23:05	Reserved
39:24	<b>SGL Descriptor 1 (SGL1):</b> This field contains the first SGL descriptor for the command. If the SGL descriptor is a (Keyed) Data Block descriptor, then it describes the entire data transfer. If more than one SGL descriptor is needed to describe the data transfer, then the first SGL descriptor is a Segment or Last Segment descriptor. Refer to section 4.4 of the NVMe Base specification for the definition of SGL descriptors.
40	Reserved
41	<b>SP Specific 0 (SPSP0):</b> The value of this field contains bits 07:00 of the Security Protocol Specific field as defined in SPC-4.
42	<b>SP Specific 1 (SPSP1):</b> The value of this field contains bits 15:08 of the Security Protocol Specific field as defined in SPC-4.
43	Security Protocol (SECP): This field specifies the security protocol as defined in SPC-4. The controller shall fail the command with Invalid Parameter indicated if a reserved value of the Security Protocol is specified.
47:44	<b>Transfer Length (TL):</b> The value of this field is specific to the Security Protocol as defined in SPC-4 where INC_512 is cleared to '0'.

Byte	Description
63:48	Reserved

Byte	Description
07:00	Reserved
09:08	<b>SQ Head Pointer (SQHD):</b> Indicates the current Submission Queue Head pointer for the associated Submission Queue.
11:10	Reserved
13:12	Command Identifier (CID): Indicates the identifier of the command that is being completed.
15:14	Status (STS): Specifies status for the command.

#### Figure 18: Authentication Send Response

#### 3.3 Connect Command and Response

The Connect command is used to create a Submission and Completion Queue pair. If the Admin Queue is specified then the Connect command establishes an association between a host and a controller. The fields for the Submission Queue Entry are defined in Figure 19 and the fields for the data portion are defined in Figure 20.

A host that uses a single Host NQN may employ multiple Host Identifiers to designate elements of the host that access an NVM subsystem independently of each other, e.g., physical or logical partitions of the host. Alternatively, a host may employ multiple Host NQN values to cause each element to be treated as a separate host by an NVM subsystem.

The NVM subsystem shall not allocate a Controller ID in the range FFF0h to FFFFh as a valid Controller ID on completion of a Connect command. If the host is not allowed to establish an association to any controller in the NVM subsystem, then a status of Connect Invalid Host is returned.

If the NVM subsystem supports the dynamic controller model then:

- The Controller ID of FFFFh shall be specified as the Controller ID in a Connect command for the Admin Queue or a status value of Invalid Field in Command is returned.
- The NVM subsystem shall return any available controller to the host, indicating the Controller ID allocated in the Connect response.

If the NVM subsystem supports the static controller model then:

- The host may request a specific controller in a Connect command for the Admin Queue. If the host is not allowed to establish an association to the specified controller, then a status of Connect Invalid Host is returned.
- The Controller ID of FFFEh on the Admin Queue specifies that any Controller ID may be allocated and returned in the Connect response.
- If the host specifies a Controller ID value of FFFFh for the Admin Queue, then a status value of Connect Invalid Parameters is returned.

The NVM subsystem may allocate specific controllers to particular hosts. If a host requests a controller that it is not allocated to that host, then a status value of Connect Invalid Host is returned.

The host shall establish an association with a controller and enable the controller before establishing a connection with an I/O Queue of the controller. If the host sends a Connect command specifying a Queue ID for an I/O Queue before the controller has been enabled, then a status value of Connect Invalid Parameters is returned.

If the Host Identifier, Host NQN, NVM Subsystem NQN, and Controller ID values specified for an I/O Queue are not the same as the values specified for the associated Admin Queue in which the association between the host and controller was established then a status value of Connect Invalid Parameters is returned. If the Host NQN or NVM Subsystem NQN values do not match the values that the NVM subsystem is configured to support, then a status value of Connect Invalid Parameters is returned. If there is a syntax

error in the Host NQN or NVM Subsystem NQN value (refer to section 7.9 in the NVMe Base specification), then a status value of Connect Invalid Parameters is returned. If the Host Identifier is cleared to 0h, then a status value of Connect Invalid Parameters is returned.

Byte	Description		
00	Opcode (OPC): Set to 7Fh to indicate a Fabrics command.		
01	Reserved		
03:02	<b>Command Identifier (CID):</b> This field specifies a unique identifier for the command. Refer to the definition in Figure 7.		
04	Fabrics Command Type (FCTYPE): Set to 01h to indicate a Connect command.		
23:05	Reserved		
39:24	<b>SGL Descriptor 1 (SGL1):</b> This field contains a (Keyed) SGL Data Block descriptor that describes the entire data transfer. Refer to section 4.4 of the NVMe Base specification for the definition of SGL descriptors.		
41:40	<b>Record Format (RECFMT):</b> Specifies the format of the Connect command capsule. If a new Connect command format is defined, this value is incremented by one. The format of the record specified in this definition shall be 0h. If the NVM subsystem does not support the value specified, then a status value of Connect Incompatible Format shall be returned.		
43:42	Queue ID (QID): Specifies the Queue Identifier for the Admin or I/O Queue. The identifier is used for both the Submission and Completion Queue. The Admin Submission and Completion Queue identifier is 0. I/O Submission and Completion Queue identifiers are in the range 1 to 65534.		
45:44	Submission Queue Size (SQSIZE): This field indicates the size of the Submission Queue to be created. If the size is 0h or larger than the controller supports, then a status value of Connect Invalid Parameters shall be returned. The maximum size of the Admin Submission Queue is specified in the Discovery Log entry for the NVM subsystem. Refer to section 4.1.3 of the NVMe Base specification. This is a 0's based value.		
	Connect Attributes (CATTR): This field indicates attributes for the connection.		
	Bits 7:2 are reserved		
46	Bits 1:0 indicate the priority class to use for commands within this Submission Queue. This field is only used when the weighted round robin with urgent priority class is the arbitration mechanism selected, the field is ignored if weighted round robin with urgent priority class is ne used. Refer to section 4.11 of the NVMe Base specification. This field is only valid for I/ Queues. It shall be set to 00b for Admin Queue connections.		
	Value Definition		
	00b Urgent		
	01b High		
	10b Medium		
	11b Low		
47	Reserved		
51:48	<b>Keep Alive Timeout (KATO):</b> This field has the same definition as the Keep Alive Timeout defined in section 5.15.1.14 of the the NVMe Base specification. The controller shall set the Keep Alive Timeout Feature to this value.		
63:52	Reserved		

Figure 19: Connect Command – Submission Queue Entry

Byte	Description
15:00	<b>Host Identifier (HOSTID):</b> This field has the same definition as the Host Identifier defined in section 5.14.1.16 of the the NVMe Base specification. The controller shall set the Host Identifer Feature to this value.
17:16	<b>Controller ID (CNTLID):</b> Specifies the controller ID requested. This field corresponds to the Controller ID (CNTLID) value returned in the Identify Controller data structure for a particular controller. If the NVM subsystem uses the dynamic controller model, then the value shall be FFFFh for the Admin Queue and any available controller may be returned. If the NVM subsystem uses the static controller model and the value is FFFEh for the Admin Queue, then any available controller may be returned.
255:18	Reserved
511:256	<b>NVM Subsystem NVMe Qualified Name (SUBNQN):</b> NVMe Qualified Name (NQN) that uniquely identifies the NVM subsystem. Refer to section 7.9 of the NVMe Base specification.
767:512	Host NVMe Qualified Name (HOSTNQN): NVMe Qualified Name (NQN) that uniquely identifies the host. Refer to section 7.9 of the NVMe Base specification.
1023:768	Reserved

#### Figure 20: Connect Command – Data

The Connect response provides status for the Connect command. If a connection is established, then the Controller ID allocated to the host is returned. The Connect response is defined in Figure 21.

For a Connect command that fails:

- the controller shall not return a status value of Invalid Field in Command; and
- the controller shall not add an entry to the Error Information Log.

#### Figure 21: Connect Response

Byte	Description
03:00	Status Code Specific: The value is dependent on the status returned. Refer to Figure 22.
07:04	Reserved
09:08	SQ Head Pointer (SQHD): Indicates the current Submission Queue Head pointer for the
	associated Submission Queue.
11:10	Reserved
13:12	Command Identifier (CID): Indicates the identifier of the command that is being completed.
15:14	Status (STS): Specifies status for the command. Refer to section 2.2.1 for values specific to the
	Connect command.

Status Code	Definition of Dword 0					
	Desta	Description				
	Byte					
		Controller ID (CNTLID): Specifies the controller ID allocated to the				
	01:00	host. If a particular controller was specified in the CNTLID field of the				
		Connect command, then this field shall contain the same value.				
		Authentication Requirements (AUTHREQ): Specifies the NVMe in-				
		band authentication requirements. The field is bit significant. If no bit				
Successful		is set to '1' then NVMe in-band authentication is not required. If a bit is				
Completion		set to '1', then NVMe in-band authentication using the specified				
		protocol is acceptable. If a bit is cleared to '0', then NVMe in-band				
	03:02	authentication shall not use the specified protocol.				
		Bit Definition				
		15:01 Reserved				
		TCG Security Protocols (refer to TCG Storage Interface				
		Interactions Specification [SIIS])				
	Byte	Description				
		Invalid Parameter Offset (IPO): If an invalid parameter is reported,				
	01:00	then this field specifies the offset in bytes to the invalid parameter from				
		the start of the SQE or the data.				
		Invalid Attributes (IATTR): Specifies attributes of the invalid field				
Connect Invalid		parameter.				
Parameters						
	02	Bits 7:1 are reserved.				
	02					
		Bit 0 if cleared to '0' then the invalid parameter is specified from the				
		start of the SQE. Bit 0 if set to '1' then the invalid parameter is specified				
		from the start of the data.				
03 Reserved		Reserved				
All Other	Byte	Description				
Statua Valuaa	03.00	Reserved				

Figure 22: Connect Response – Dword 0 Value Based on Status Code

# 3.4 Property Get Command and Response

The Property Get command is used to specify the property value to return to the host (refer to section 3.5.1). The fields for the Property Get command are defined in Figure 23. If an invalid property or invalid offset is specified, then a status value of Invalid Field in Command shall be returned.

Byte	Description			
00	Opcode (OPC): Set to 7Fh to indicate a Fabrics command.			
01	Reserved			
03:02	<b>Command Identifier (CID):</b> This field specifies a unique identifier for the command. Refer to the definition in Figure 7.			
04	Fabrics Command 1	Type (FCTYPE): S	Set to 04h to indicate a Property Get	command.
39:05	Reserved			
Attributes (ATTRIB): Specifies a         Bits 7:3 are reserved.         40		size of the proper	ty to return. Valid values are shown i	n the table below.
		Value	d butos	
		0000	4 bytes	
		0010	8 bytes	
		010b – 111b	Reserved	
43:41	Reserved			
47:44	Offset (OFST): Specifies the offset to the property to get. Refer to section 3.5.1.			3.5.1.
63:48	Reserved			

### Figure 23: Property Get Command

The Property Get response is used to return the value of the property requested to the host. The Property Get response is defined in Figure 24.

#### Figure 24: Property Get Response

Byte	Description
07:00	Value (VALUE): Specifes the value returned for the property if the Property Get command is successful. If the size of the property is four bytes, then the value is specified in bytes 03:00 and bytes 07:04 are reserved.
09:08	<b>SQ Head Pointer (SQHD):</b> Indicates the current Submission Queue Head pointer for the associated Submission Queue.
11:10	Reserved
13:12	Command Identifier (CID): Indicates the identifier of the command that is being completed.
15:14	Status (STS): Specifies status for the command.

#### 3.5 **Property Set Command and Response**

The Property Set command is used to set the value of a property (refer to section 3.5.1). The fields for the Property Set command are defined in Figure 25. If an invalid property or invalid offset is specified, then a status value of Invalid Field in Command shall be returned.

#### Figure 25: Property Set Command

Byte	Description		
00	<b>Opcode (OPC):</b> Set to 7Fh to indicate a Fabrics command.		
01	Reserved		
03:02	<b>Command Identifier (CID):</b> This field specifies a unique identifier for the command. Refer to the definition in Figure 7.		
04	Fabrics Command Type (FCTYPE): Set to 00h to indicate a Property Set command.		
39:05	Reserved		

Byte	Description		
40	Attributes (ATTRIB): Specifies attributes for the Property Set command.Bits 7:3 are reserved.Bits 2:0 specifies the size of the property to update. Valid values are shown in the table below.		
	ValueDefinition000b4 bytes001b8 bytes010b - 111bReserved		
43:41	Reserved		
47:44	Offset (OFST): Specifies the offset to the property to set. Refer to section 3.5.1.		
55:48	Value (VALUE): Specifes the value used to update the property. If the size of the property is four bytes, then the value is specified in bytes 51:48 and bytes 55:52 are reserved.		
63:56	Reserved		

The Property Set response provides status for the Property Set command. The Property Set response is defined in Figure 26.

#### Figure 26: Property Set Response

Byte	Description
07:00	Reserved
00.08	SQ Head Pointer (SQHD): Indicates the current Submission Queue Head pointer for the
09.00	associated Submission Queue.
11:10	Reserved
13:12	Command Identifier (CID): Indicates the identifier of the command that is being completed.
15:14	Status (STS): Specifies status for the command.

#### 3.5.1 Property Definitions

A property is a Dword, or Qword attribute of a controller. The attribute may have read, write, or read/write access. The host shall access properties in their native width with an offset that is at the beginning of the property. All reserved properties and all reserved bits within properties are read-only and return 0h when read. Properties may be read with the Property Get command and may be written with the Property Set command.

The property address range from 0h to FFFh is reserved for functionality that is equivalent to the register functionality defined for NVMe over PCIe. The property address range from 1000h to 12FFh is reserved for definition by NVMe over Fabrics. The doorbell registers defined for NVMe over PCIe are not supported in NVMe over Fabrics.

Figure 27 specifies the properties that are supported by NVMe over Fabrics.

Start	End	Symbol	Description
00h	07h	CAP	Controller Capabilities Note: CAP.DSTRD shall be set to fixed value 0h. Note: CAP.CQR shall be set to fixed value 1h.
08h	0Bh	VS	Version
0Ch	0Fh	INTMS	Reserved
10h	13h	INTMC	Reserved
14h	17h	CC	Controller Configuration
18h	1Bh	Reserved	Reserved
1Ch	1Fh	CSTS	Controller Status
20h	23h	NSSR	NVM Subsystem Reset (Optional)
24h	27h	AQA	Reserved
28h	2Fh	ASQ	Reserved
30h	37h	ACQ	Reserved
38h	3Bh	CMBLOC	Reserved
3Ch	3Fh	CMBSZ	Reserved
40h	EFFh	Reserved	Reserved
F00h	FFFh	Reserved	Command Set Specific
1000h	12FFh	Reserved	Reserved for Fabrics definition
			Vendor Specific (Optional)

# Figure 27: Property Definitions

# 4 Controller Architecture

NVMe over Fabrics utilizes the same controller architecture as that defined in the NVMe Base specification. This includes using Submission and Completion Queues to execute commands between a host and a controller.

# 4.1 Identify Controller Data Structure Enhancements

This section defines Identify Controller fields that are specific to NVMe over Fabrics.

1795:1792	М	<b>I/O Queue Command Capsule Supported Size (IOCCSZ):</b> This field defines the I/O command capsule size in 16 byte units. The minimum value that may be specified is 4 corresponding to 64 bytes.
1799:1796	М	<b>I/O Queue Response Capsule Supported Size (IORCSZ):</b> This field defines the I/O response capsule size in 16 byte units. The minimum value that may be specified is 1 corresponding to 16 bytes.
1801:1800	М	In Capsule Data Offset (ICDOFF): This field defines the offset where data starts within a capsule. This value is applicable to I/O Queues only (the Admin Queue shall use a value of 0h). The value is specified in 16 byte units. The offset is from the end of the Submission Queue Entry within the command capsule (starting at 64 bytes in the command capsule). The minimum value is 0 and the maximum value is FEFEN
1802	М	Controller Attributes (CTRATTR): This field indicates attributes of the controller. Bits 7:1 are reserved. Bit 0 if cleared to '0' then the NVM subsystem uses a dynamic controller model. Bit 0 if set to '1' then the NVM subsystem uses a static controller model.
1803	М	<b>Maximum SGL Data Block Descriptors (MSDBD):</b> This field indicates the maximum number of (Keyed) SGL Data Block descriptors that a host is allowed to place in a capsule. A value of 0h indicates no limit.
2047:1804		Reserved

#### Figure 28: Identify Controller Attributes

#### 4.2 Controller Model

The NVM subsystem may support a dynamic or static controller model. All controllers in the NVM subsystem shall follow the same controller model. A Discovery Controller shall support the dynamic controller model.

In a dynamic controller model, the controller is allocated by the NVM subsystem on demand. In this model, all controllers allocated to a specific host have the same state including attached namespaces and Feature settings. The host shall specify a Controller ID of FFFFh when using the Fabrics Connect command to establish an association with an NVM subsystem using the dynamic controller model.

In a static controller model, controllers that may be allocated to a particular host may have different state. The controllers within an NVM subsystem are distinguished by their Controller ID. The state that persists across associations is any state that persists across a Controller Level Reset. In a static controller model, different controllers may present different Feature settings or namespace attachments to the same host. The NVM subsystem may allocate particular controllers to specific hosts.

While allocation of static controllers to hosts are expected to be durable (so that hosts can expect to form associations to the same controllers repeatedly, e.g., after each host reboot), the NVM subsystem may remove the host allocation of a controller that is not in use at any time for implementation specific reasons (e.g., controller resource reclamation, subsystem reconfiguration).

The controller ID values returned in the Discover Log entries indicate whether an NVM subsystem supports the dynamic or static controller model. The controller ID value of FFFFh is a special value used for NVM subsystems that support the dynamic controller model indicating that any available controller may be returned. The controller ID value of FFFEh is a special value used for NVM subsystems that support the static controller model indicating that any available controller may be returned. An NVM subsystem supports the dynamic controller model if Discovery Log entries use the Controller ID value of FFFFh. An NVM subsystem supports the static controller model if Discovery Log entries use a Controller ID value that is less than FFFFh. The Identify Controller data structure also indicates whether an NVM subsystem is dynamic or static.

If an NVM subsystem is dynamic, then the Controller ID returned in the Discovery Log shall be FFFFh. If an NVM subsystem is static, then multiple entries may be returned in the Discovery Log specifying different Controller IDs. If an NVM subsystem that is static includes a Discovery Log entry that specifies a Controller ID of FFFEh, then the host should remember the Controller ID returned from the Fabrics Connect command and re-use the allocated Controller ID for future associations to that particular controller.

# 4.3 Queue Initialization and Queue State

The Authentication Requirements (AUTHREQ) field in the Connect response indicates if NVMe in-band authentication is required. If AUTHREQ is cleared to zero, the created queue is ready for use after the Connect command completes successfully. If AUTHREQ is set to a non-zero value, the created queue is ready for use after NVMe in-band authentication has been performed successfully using the Authentication Send and Authentication Receive Fabrics commands.

If a controller requires or is undergoing NVMe in-band authentication, a controller shall abort all commands other than authentication commands with a status of Authentication Required. After the NVMe in-band authentication has been performed successfully, a controller shall abort all authentication commands with a status of Command Sequence Error.

When an Admin Queue is ready for use, the associated controller is disabled (i.e., CC.EN is initialized to '0'). A disabled controller shall abort all commands other than the Property Get and Property Set commands on the Admin Queue with a status of Command Sequence Error. After the controller is enabled, it should accept all supported Admin commands in addition to the Fabrics commands Property Get and Property Set.

A created I/O queue shall abort all commands with a status of Command Sequence Error if the associated controller is disabled.

#### 4.4 Initialization

The host selects the NVM subsystem with which to create a host to controller association. The host first establishes an NVMe Transport connection with the NVM subsystem. Next the host forms an association with a controller and creates the Admin Queue using the Fabrics Connect command. Finally, the host configures the controller and creates I/O Queues. Figure 29 is a ladder diagram that describes the queue creation process for an Admin Queue or an I/O Queue.



# Figure 29: Queue Creation Flow

The controller initialization steps after an association is established are described below. For determining capabilities or configuring properties, the host uses the Property Get and Property Set commands, respectively.

- 1. NVMe in-band authentication is performed if required (refer to section 6.2).
- 2. The host determines the controller capabilities.
- 3. The host configures controller settings. Specific settings include:
  - a. The arbitration mechanism should be selected in CC.AMS.
  - b. The memory page size should be initialized in CC.MPS.
  - c. The I/O Command Set that is to be used should be selected in CC.CSS.
- 4. The controller should be enabled by setting CC.EN to '1'.
- 5. The host should wait for the controller to indicate it is ready to process commands. The controller is ready to process commands when CSTS.RDY is set to '1'.
- 6. The host should determine the configuration of the controller by issuing the Identify command, specifying the Controller data structure. The host should then determine the configuration of each namespace by issuing the Identify command for each namespace, specifying the Namespace data structure.
- 7. The host should determine the number of I/O Submission Queues and I/O Completion Queues supported using the Set Features command with the Number of Queues feature identifier.
- 8. If the host desires asynchronous notification of optional events, the host should issue a Set Features command specifying the events to enable. If the host desires asynchronous notification of events, the host should submit an appropriate number of Asynchronous Event Request commands. This step may be done at any point after the controller signals it is ready (i.e., CSTS.RDY is set to '1').

#### 4.5 Shutdown

To shutdown the controller, the host should set the Shutdown Notification (CC.SHN) field to 01b to indicate a normal shutdown operation using the Property Set command. After the host specifies a shutdown, the host may either disconnect at the NVMe Transport level or it may choose to poll CSTS.SHST to determine when the shutdown is complete (the controller should not initiate a disconnect at the NVMe Transport level). It is an implementation choice whether the host aborts all outstanding commands prior to the shutdown.

The CC.EN field is not used to shutdown the controller (it is used for Controller Reset).

# 5 Discovery Service

NVMe over Fabrics defines a discovery mechanism that a host uses to determine the NVM subsystems that expose namespaces that the host may access. The Discovery Service provides a host with the following capabilities:

- The ability to discover a list of NVM subsystems with namespaces that are accessible to the host.
- The ability to discover multiple paths to an NVM subsystem.
- The ability to discover controllers that are statically configured.

A Discovery Service is an NVM subsystem that supports only Discovery controllers. A Discovery controller supports minimal functionality and only implements the required features that allow the Discovery Log Page to be retrieved and does not implement I/O Queues or expose namespaces.

The host uses the well-known Discovery Service NQN (nqn.2014-08.org.nvmexpress.discovery) in the Connect command to a Discovery Service. The method that a host uses to obtain the NVMe Transport information necessary to connect to the well-known Discovery Service is implementation specific.

The Discovery Log Page provided by a Discovery controller contains one or more entries. Each entry specifies information necessary for the host to connect to an NVM subsystem. An entry may be associated with an NVM subsystem that exposes namespaces or a referral to another Discovery Service. There are no ordering requirements for log page entries within the Discovery Log Page.

Discovery controller(s) may provide different log page contents depending on the Host NQN provided (e.g., different NVM subsystems may be accessible to different hosts). The Discovery Log entries should return addresses on the same fabric as the Discovery Service.

The Keep Alive command is reserved for Discovery controllers. A transport may specify a fixed Discovery controller activity timeout value (e.g., 2 minutes). If no commands are received by a Discovery controller within that time period, the controller may perform the actions for Keep Alive Timer expiration defined in section 7.1.2.

A Discovery Log Page with multiple entries for the same NVM subsystem indicates that there are multiple fabric paths to the NVM subsystem. The host may use these paths to form multiple associations to controllers within an NVM subsystem.

Multiple entries for the same NVM subsystem with different Port ID values indicates that the resulting NVMe Transport connections are independent with respect to NVM subsystem port hardware failures. A host that uses a single association should pick the first / best record to attach to an NVM subsystem. A host that uses multiple associations should choose different ports. In NVMe over Fabrics 1.0, there is no indication of preferred ports or active/passive port state.

#### 5.1 Discovery Controller Initialization

The initialization process for the Discovery controller is described below:

- 1. NVMe in-band authentication is performed if required (refer to section 6.2).
- 2. The host determines the controller's capabilities by reading the Controller Capabilities property.
- 3. The host configures the controller's settings by writing the Controller Configuration property, including setting CC.EN to '1' to enable command processing.
- 4. The host waits for the controller to indicate it is ready to process commands. The controller is ready to process commands when CSTS.RDY is set to '1' in the Controller Status property.
- 5. The host determines the features and capabilities of the controller by issuing the Identify command, specifying the Controller data structure.

After initializing the Discovery controller, the host reads the Discovery Log Page. Refer to section 5.3.

# 5.2 Discovery Controller Properties and Command Support

The supported properties for a Discovery controller are defined in Figure 30.

Start	End	Symbol	Description
00h	07h	САР	Controller Capabilities Note: CAP.MPSMAX shall be set to fixed value 0h. Note: CAP.MPSMIN shall be set to fixed value 0h. Note: CAP.CSS shall be set to a fixed value 1h. Note: CAP.NSSRS shall be set to a fixed value 0h. Note: CAP.AMS shall be set to a fixed value 0h. Note: CAP.CQR shall be set to a fixed value 1b.
08h	0Bh	VS	Version
0Ch	0Fh	INTMS	Reserved
10h	13h	INTMC	Reserved
14h	17h	сс	Controller Configuration Note: CC.IOCQES is reserved. Note: CC.IOSQES is reserved. Note: CC.AMS shall be set to 0h. Note: CC.MPS shall be set to 0h. Note: CC.CSS shall be set to 0h.
18h	1Bh	Reserved	Reserved
1Ch	1Fh	CSTS	Controller Status
20h	23h	NSSR	Reserved
24h	27h	AQA	Reserved
28h	2Fh	ASQ	Reserved
30h	37h	ACQ	Reserved
38h	3Bh	CMBLOC	Reserved
3Ch	3Fh	CMBSZ	Reserved
40h	FFFh	Reserved	Reserved
			Vendor Specific (Optional)

Figure 30	Discoverv	Controller -	- Properties
		•••••••	

A Discovery Controller supports all mandatory Fabrics commands. A Discovery controller supports a subset of Admin commands shown in Figure 31.

Figure 31: Discovery	/ Controller – Admin	Commands
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Opcode by Field					Namaanaaa	
(07)	(06:02)	(01:00)	Combined	1	Identifier	
Generic		Data Oncode <sup>2</sup>	Opcode <sup>2</sup>	0/М'	3	Command
Command	Function	Transfer <sup>4</sup>	opecae		Used	
0b	000 00b	10b	02h	М	n/a	Get Log Page
0b	000 01b	10b	06h	М	n/a	Identify
NOTES:	NOTES:					
1. O/M def	<ol> <li>O/M definition: O = Optional, M = Mandatory.</li> </ol>					
2. Opcodes not listed are reserved.						
3. The Namespace Identifier field (CDW1.NSID) is reserved for Discovery controllers.						
4. 00b = no data transfer; 01b = host to controller; 10b = controller to host; 11b = bidirectional						

The Discovery controller shall support the Discovery Log Page. The log pages that a Discovery controller may support are shown in Figure 32.

Log Identifier	O/M	Description
00h		Reserved
01h	0	Error Information
02h – 6Fh		Reserved
70h	М	Discovery
71h – BFh		Reserved
C0h – FFh		Vendor specific

#### Figure 32: Discovery Controller – Log Page Identifiers

O/M: O = Optional, M = Mandatory

The Discovery controller shall support the Identify command with a CNS value of 01h (Identify Controller data structure); all other CNS values are reserved. The Identify Controller data structure returned when CNS is 01h is defined in Figure 33.

Bytes	O/M	Description
63:00		Reserved
		Firmware Revision (FR): Refer to Figure 90 in the NVMe Base specification. Note:
71:64	Μ	The firmware revision is only accessible via this mechanism as the Firmware
		Information log page is not supported.
76:72		Reserved
		Maximum Data Transfer Size (MDTS): Refer to Figure 90 in the NVMe Base
77	М	specification. Note: The CAP.MPSMIN and CAP.MPSMAX values are fixed at 0h.
		Thus, this field is reported in units of 4KB.
79:78	М	Controller ID (CNTLID): Refer to Figure 90 in the NVMe Base specification.
83:80	М	Version (VER): Refer to Figure 90 in the NVMe Base specification.
260:84		Reserved
261	М	Log Page Attributes (LPA): Refer to Figure 90 in the NVMe Base specification.
262	М	Error Log Page Attributes (ELPE): Refer to Figure 90 in the NVMe Base
		specification.
513:263		Reserved
515.511	М	Maximum Outstanding Commands (MAXCMD): Refer to Figure 90 in the NVMe
515.514		Base specification.
535:516		Reserved
539:536	М	SGL Support (SGLS): Refer to Figure 90 in the NVMe Base specification.
767:540		Reserved
1022-769	NA	NVM Subsystem NVMe Qualified Name (SUBNQN): Refer to Figure 90 in the
1023.700	IVI	NVMe Base specification.
2047:1024		Reserved for Discovery controller specific fields.
3071:2048		Reserved
4095:3072	0	Vendor Specific

#### Figure 33: Discovery Controller – Identify Controller Data Structure

# 5.3 Discovery Log Page (Log Identifier 70h)

The Discovery Log Page shall only be supported by Discovery controllers. The Discovery Log Page shall not be supported by controllers that expose namespaces for NVMe over PCIe or NVMe over Fabrics. The Discovery Log Page provides an inventory of NVM subsystems with which a host may attempt to form an association. The Discovery Log may be specific to the host requesting the log. The Discovery Log page is persistent across power cycles.

The Log Page Offset is used (if needed) to retrieve specific records. The number of records is returned in the header of the log page. The format for a Discovery Log Page entry is defined in Figure 34. The format for the Discovery Log is defined in Figure 35.

A single Get Log Page command used to read the Discovery Log Page shall be atomic. If the host reads the Discovery Log Page using multiple Get Log Page commands it should ensure that there has not been a change in the contents of the data. The host should read the Discovery Log Page contents in order (i.e., with increasing Log Page Offset values) and then re-read the Generation Counter after the entire log page is transferred. If the Generation Counter does not match the original value read, the host should discard the log page read as the entries may be inconsistent. If the log page contents change during this command sequence, the controller may return a status of Discover Restart.

Every record indicates via the SUBTYPE field if it is referring to another Discovery Service or if the record indicates an NVM subsystem composed of controllers that may expose namespaces. A referral to another Discovery Service is a mechanism to find additional NVM subsystems that contain controllers that may expose namespaces. Referrals shall not be deeper than eight levels.

If an NVM subsystem supports the dynamic controller model, then all entries for that NVM subsystem shall have the Controller ID field set to FFFFh. For an NVM subsystem with a particular NVM subsystem port and NVMe Transport address, there shall be only one entry with a Controller ID field set to FFFFh (for the dynamic controller model) or FFFEh (for the static controller model).

Byte	Description			
	Transport Type	(TRTYPE): Specifies the NVMe Transport type.		
	Value	Definition		
	00	Reserved		
00	01	RDMA Transport (refer to section 7.3)		
00	02	Fibre Channel Transport (refer to INCITS 540)		
	03-253	Reserved		
	254	Intra-host Transport (i.e., loopback) ( <b>NOTE:</b> This is a reserved value for use by host software.)		
	255	Reserved		
	Address Family	(ADRFAM): Specifies the address family.		
	Value	Definition		
	00	Reserved		
	01	AF_INET: IPV4 address family. Address format specified in IETF RFC 791.		
01	02	AF_INET: IPV6 address family. Address format specified in IETF RFC 2373		
01	03	AF_IB: InfiniBand address family.		
	04	Fibre Channel address family.		
	05 – 253	Reserved		
	254	Intra-host Transport (i.e., loopback) ( <b>NOTE:</b> This is a reserved value for use by host software.)		
	255	Reserved		

Figure 34: Get Log Page – Discovery Log Page Entry

Byte	Description			
	Subsystem Type (SUBTYPE): Specifies the type of the NVM subsystem that is indicated in this			
	entry.			
	Value	Definition		
	00	Reserved.		
02	01	The entry describes a referral to another Discovery Service composed of Discovery controllers for additional records.		
	02	The entry describes an NVM subsystem that is not associated with Discovery controllers and whose controllers may have attached namespaces.		
	03 – 255	Reserved		
	Transport Requ	irements (TREQ): Indicates requirements for the NVMe Transport.		
	Bits 7:2 are rese	rved.		
03	Bits 1:0 indicate	whether connections shall be made over a fabric secure channel.		
	Value	Definition		
	00b	Not specified		
	01b	Required		
	10b	Not required		
	11b	Reserved		
	Port ID (PORTI	D): Specifies a particular NVM subsystem port. Different NVMe Transports or		
05:04	address families RoCE).	may utilize the same Port ID value (e.g, a Port ID may support both iWARP and		
07:06	<b>Controller ID (CNTLID):</b> Specifies the controller ID. If the NVM subsystem uses a dynamic controller model, then this field shall be set to FFFFh. If the NVM subsystem uses a static controller model, then this field may be set to a specific controller ID (values 0h to FFEFh are valid). If the NVM subsystem uses a static controller model and the value indicated is FFFEh, then the host should remember the Controller ID returned as part of the Fabrics Connect command in order to re-setablish an association in the future with the same controller.			
09:08	Admin Max SQ applies to all cor	Size (ASQSZ): Specifies the maximum size of an Admin Submission Queue. This ntrollers in the NVM subsystem. The value shall be a minimum of 32 entries.		
31:10	Reserved			
	Transport Serv	ice Identifier (TRSVCID): Specifies the NVMe Transport service identifier as an		
62.22	ASCII string. The	NVMe Transport service identifier is specified by the associated NVMe Transport		
03.32	binding specification. Refer to http://nvmexpress.org/specifications for a registry that lists the valid			
	values of this identifier and the associated NVMe Transport binding specifications.			
255:64	Reserved			
511:256	<b>NVM Subsystem Qualified Name (SUBNQN):</b> NVMe Qualified Name (NQN) that uniquely identifies the NVM subsystem. Refer to section 7.9 of the NVMe Base specification. For a Discovery Service, the value returned shall be the well-known Discovery Service NQN (nqn.2014-08.org.nvmexpress.discovery).			
767:512	<b>Transport Address (TRADDR):</b> Specifies the address of the NVM subsystem that may be used for a Connect command as an ASCII string. The Address Family field describes the reference for parsing this field. Refer to section 1.5 of the NVMe Base specification for ASCII string requirements.			
1023:768	Transport Spec about the addres specification.	<b>Stric Address Subtype (TSAS):</b> Specifies NVMe Transport specific information as. For the definition of this field, refer to the appropriate NVMe Transport binding		

Bytes	Description
	Generation Counter (GENCTR): Indicates the version of the discovery information,
07:00	starting at a value of 0h. For each change in the Discovery Log, this counter is
	incremented by one. If the maximum count is exceeded, it wraps to a value of 0h.
15:08	Number of Records (NUMREC): Indicates the number of records contained in the log.
	<b>Record Format (RECFMT):</b> Specifies the format of the Discovery Log. If a new format is
17:16	defined, this value is incremented by one. The format of the record specified in this
	definition shall be 0h.
1023:18	Reserved
2047-1024	Discovery Log Entry 0 (DLE0): Contains the first Discovery Log Entry as defined in
2047.1024	Figure 34.
2071-2049	Discovery Log Entry 1 (DLE1): Contains the second Discovery Log Entry as defined in
3071.2048	Figure 34 (if present).
(((N + 2) × 1024) - 1):	Discovery Log Entry N (DLEN): Contains the Nth Discovery Log Entry as defined in
$((N + 1) \times 1024)$	Figure 34 (if present).

# Figure 35: Get Log Page – Discovery Log

# 6 Authentication

NVMe over Fabrics supports both fabric secure channel (that includes authentication) and NVMe in-band authentication. Fabric authentication is part of establishing a fabric secure channel via an NVMe Transport specific protocol that provides authentication, encryption, and integrity checking (e.g., IPsec; see RFC 4301). NVMe in-band authentication is performed immediately after a Connect command succeeds using the Authentication Send and Authentication Receive commands (refer to section 3) to tunnel authentication protocol commands between the host and the controller.

Enrollment of the host and controller in an authentication mechanism, including provisioning of authentication credentials to the host and controller, is outside the scope of this specification.

If both fabric secure channel and NVMe in-band authentication are used, the identities for these two instances of authentication may differ for the same NVMe Transport connection. For example, if an iWARP NVMe Transport is used with IPsec as the fabric secure channel technology, the IPsec identities for authentication are associated with the IP network (e.g., DNS host name or IP address), whereas NVMe inband authentication uses NVMe identities (i.e., Host NQNs). The NVMe Transport binding specification may provide further guidance and requirements on the relationship between these two identities, but determination of which NVMe Transport identities are authorized to be used with which NVMe identities is part of the security policy for the deployed subsystem.

#### 6.1 Fabric Secure Channel

The Transport Requirements field in the Fabrics Discovery Log Page Entry (refer to Figure 34) indicates whether a fabric secure channel shall be used for an NVMe Transport connection to an NVM subsystem. The secure channel mechanism is specific to the type of fabric.

If establishment of a secure channel fails or a secure channel is not established when required by the controller, the resulting errors are fabric-specific and may not be reported to the NVMe layer on the host. Such errors may result in the controller being inaccessible to the host via the NVMe Transport connection on which the authentication failure occurred.

An NVM subsystem that requires use of a fabric secure channel (i.e., as indicated by the TREQ field in the associated Discovery Log entry) shall not allow capsules to be transferred until a secure channel has been established for the NVMe Transport connection.

All Discovery Log Page Entries for an NVM subsystem should report the same value of TREQ to each host. Discovery Log Page Entries for an NVM subsystem may report different values of TREQ to different hosts.

#### 6.2 NVMe In-band Authentication

The Authentication Requirements (AUTHREQ) field in the Connect response capsule (refer to Figure 21) indicates whether NVMe in-band authentication is required.

If one or more of the bits in the AUTHREQ field are set to '1', then the controller requires that the host authenticate on each queue via one of the indicated security protocols in order to proceed with Fabrics, Admin, and I/O commands. Authentication success is defined by the specific security protocol that is used for authentication. If any command other than Connect, Authentication Send, or Authentication Receive is received prior to authentication success, then the controller shall abort the command with Authentication Required status.

If all bits in the AUTHREQ field are cleared to '0', then the controller does not require the host to authenticate, and the subsystem shall not abort any command with a status value of Authentication Required.

A controller shall report the same value of AUTHREQ in the Connect response capsules sent by all of that controller's queues. All controllers in an NVM subsystem should report the same value of AUTHREQ.

If NVMe in-band authentication succeeds, then:

- 1) any supported commands for the associated queue type may be processed; and
- 2) if an Authentication Send or an Authentication Receive command is received, then that command shall be aborted with a status value of Command Sequence Error.

#### 6.2.1 NVMe In-band Authentication Protocol-Specific Requirements

Authentication requirements for security commands are based on the security protocol indicated by the SECP field in the command.

#### 6.2.1.1 NVMe In-band Authentication Requirements for the TCG Security Protocols

For the TCG Security Protocols (i.e., bit 00 is set to '1' in the AUTHREQ field), security commands specifying security protocol values 01h through 06h do not require authentication when used for NVMe inband authentication. When used for other purposes, in-band authentication of these commands is required. The TCG Storage Interface Interactions Specification (SIIS) and associated specifications specify the subset of the TCG security protocols used for NVMe in-band authentication.

# 7 Transport Definition

# 7.1 Transport Requirements

This section defines requirements that all NVMe Transports that support an NVMe over Fabrics implementation shall meet.

The NVMe Transport may support NVMe Transport level error detection and report errors to the NVMe layer in command status values. The controller may record NVMe Transport specific errors in the Error Information Log. Transport errors that cause loss of a message or loss of data in a way that the low-level NVMe Transport cannot replay or recover should cause termination of the NVMe Transport connection and end the association between the host and controller.

The NVMe Transport shall provide reliable delivery of capsules between a host and NVM subsystem (and allocated controller) over each connection. The NVMe Transport may deliver command capsules in any order on each queue except for I/O commands that are part of fused operations (refer to section 4.10 of the NVMe Base specification).

For command capsules that are part of fused operations for I/O commands, the NVMe Transport:

- a) shall deliver the first and second command capsules for each fused operation to the queue inorder; and
- b) shall not deliver any other command capsule for the same Submission Queue between delivery of the two command capsules for a fused operation.

The NVMe Transport shall provide reliable delivery of response capsules from an NVMe subsystem to a host over each connection. The NVMe Transport shall deliver response capsules that include an SQ Head Pointer (SQHD) value to the host in-order; this includes all Connect response capsules.

#### 7.1.1 Submission Queue Head Pointer Update Optimization

The NVMe Transport may omit transmission of the SQHD value for a response capsule that:

- a) contains a Generic Command status (i.e., Status Code Type 0h) indicating successful completion of a command (i.e., Status Code 00h); and
- b) is not a Connect response capsule.

If a new SQHD value is not received in a response capsule, the host continues to use its previous SQHD value. Thus, at the NVMe layer there is a logical progression of SQHD values despite the fact that the NVMe Transport may not actually transfer the SQHD value in each response capsule.

The NVMe Transport may deliver response capsules that do not contain an SQHD value to the host in any order. The applicable NVMe Transport binding specification defines how presence versus absence of an SQHD value in a response capsule is indicated by the NVMe Transport.

Periodic SQHD updates at the host are required to avoid Submission Queue (SQ) starvation as SQHD value transmission in responses is the only means of releasing SQ slots for host reuse.

An NVMe Transport may transmit an SQHD value in every response capsule. If an NVMe Transport does not transmit an SQHD value in every response capsule, then an SQHD value should be transmitted periodically (e.g., in at least one of every n response capsules on a CQ, where n is 10% of the size of the associated SQ) or more often. An SQHD value should always be transmitted if 90% or more of the slots in the associated SQ are occupied at the subsystem, or if the associated SQ is empty at the subsystem.

# 7.1.2 Keep Alive

The Keep Alive feature is defined in section 7.11 of the NVMe Base specification.

The controller shall treat a Keep Alive Timeout in the same manner as connection loss. If the Keep Alive feature is in use and the timer expires, then the controller shall:

- stop processing commands and set the Controller Fatal Status (CSTS.CFS) bit to '1';
- terminate the NVMe Transport connection; and
- break the host to controller association.

After completing these steps, a controller may accept a Connect command for the Admin Queue from the same or another host in order to form a new association.

#### 7.2 Transport Capsule and Data Binding: Fibre Channel

The Fibre Channel Technical Committee (ANSI/INCITS TC T11) has defined a transport binding for NVMe over Fabrics. The Fibre Channel Transport maps NVMe capsules onto Fibre Channel frames using the NVMe over FC protocol (FC-NVMe).

The binding of an NVMe implementation using the Transport Type of Fibre Channel Transport as defined in Figure 34 is specified in INCITS 540 Fibre Channel – Non-Volatile Memory Express (FC-NVMe). See http://www.t11.org for more information on the Fibre Channel Technical Committee and http://www.incits.org for information on how to purchase Fibre Channel standards.

The diagram in Figure 36 illustrates the layering of the Fibre Channel Transport within the host and NVM subsystem.



# Figure 36: Fibre Channel Transport Protocol Layers

#### 7.3 Transport Capsule and Data Binding: RDMA

This section defines the binding of an NVMe implementation that uses the Transport Type of RDMA Transport as defined in Figure 34. Common definitions used for RDMA are defined in Figure 37.

Figure	37:	RDMA	Definitions
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Term	Definition
	The use of RDMA_READ or RDMA_WRITE to place data exchanged over the
direct data placement	RDMA fabric directly into a host or an NVM subsystem memory buffer as specified
	in the command
Established RDMA QP	Two RDMA Queue Pair endpoints that have an established association between
	them.
Host Memory Buffer Address	The RDMA Memory Key, byte offset, and byte length, identify a host memory buffer
	Within an RDMA Memory Region or Memory Window.
in order delivery	Ine use of RDMA_SEND to deliver capsules over the RDMA fabric in the same
In-order derivery	a given Submission or Completion Queue
	Term used to desribed a remote Memory Region or Window in InfiniBand™ RDMA
InfiniBand™ R_Key	implementations
InfiniBand™ RDMA	InfiniBand™ Trade Association definition of RDMA. Refer to www.infinibandta.org.
iWARP RDMA	IETF standard definition of RDMA, Refer to https://tools.ietf.org/html/rfc5040.
	Term used to describe a local or remote Memory Region or Window in iWARP
IWARP STag	RDMA implementations.
RDMA_LOCAL_INVALIDATE	RDMA operation used to invalidate the local system's memory key.
	Component of the Host Memory Buffer Address that associates a host buffer with
RDMA Memory Key (RKEY)	an RDMA Memory Region or Memory Window. For the RDMA Transport, this is
	either an iWARP STag or InfiniBand™ R_KEY
RDMA Memory Region	A range of host memory that has been registered with a host-resident RDMA
	device.
RDMA Memory Window	A range of host memory residing within a registered RDMA Memory Region.
RDMA NIC (RNIC)	RDMA enabled network adapter.
RDMA Queue Pair (QP)	Queue.
	RDMA operation used to read from the remote system's memory buffer to the local
	system's memory buffer.
RDMA SEND	RDMA operation used to send a message from the local peer's QP Send Queue to
	the remote peer's QP Receive Queue or Shared Receive Queue
RDMA SEND INVALIDATE	RDMA operation used to perform the RDMA_SEND operation and invalidate the
	memory Key on the remote system.
RDMA_WRITE	RDMA operation used to write memory buffer(s) from the local system's memory to
_	the remote system's memory putter(s).
	common functional definition and implementation of the RDMA operational
	the consumers of RDMA operations and RDMA providers, are the various
	implementations of RDMA operations such as InfiniBand™ iWARP RoCF etc.
	Refer to https://tools.ietf.org/html/draft-hilland-rddp-verbs-00.
Delichte Ocean ( 100	Two RDMA Queue Pair endpoints connected with reliable in-order communications
Reliable Connected QP	of RDMA messages and data.
Reliable Datagram OB	Two or more Queue Pair endpoints using a reliable datagram channel to exchange
	RDMA messages and data.
RoCE and RoCEv2 RDMA	RDMA over Converged Ethernet definition. Refer to www/infinibandta.org.

# 7.3.1 Transport Overview

The RDMA Transport provides reliable in-order capsule delivery and direct data placement of Admin and I/O command data through use of the RDMA reliable QP modes (Reliable Connected and Reliable Datagram). Use of RDMA unreliable QP modes is not supported. Refer to the RDMA specifications and RFCs for a description of RDMA QP modes.

The RDMA Transport is RDMA Provider agnostic. The diagram in Figure 38 illustrates the layering of the RDMA Transport and common RDMA providers (iWARP, InfiniBand<sup>™</sup>, and RoCE) within the host and NVM subsystem.



#### Figure 38: RDMA Transport Protocol Layers

The RDMA Transport uses a common set of RDMA operations to facilitate the exchange of command capsules, response capsules, and data. These operations are RDMA\_SEND, RDMA\_SEND\_INVALIDATE, RDMA\_READ, and RDMA\_WRITE. The RDMA Transport uses RDMA buffer registration and invalidation operations to facilitate the use of host or NVM subsystem resident buffers for the exchange of data and metadata for Admin and I/O commands.

In some host and NVM subsystem implementations, the interface between the RDMA Transport and the RDMA Providers is defined by an implementation of RDMA Verbs. When applicable, the Host and NVM subsystem RDMA Transport implementations should use the common RDMA Verbs software interfaces in order for the RDMA Transport layer to be RDMA provider agnostic.

#### 7.3.2 Capsules and SGLs

The capsule size for Fabrics commands are fixed in size regardless of whether commands are submitted on an Admin Queue or an I/O Queue. The command capsule size is 64 bytes and the response capsule size is 16 bytes.

The capsule sizes for the Admin Queue are fixed in size. The command capsule size is 64 bytes and the response capsule size is 16 bytes. In-capsule data is not supported for the Admin Queue.

Command capsules for I/O commands sent on I/O Queues may contain up to the Maximum Data Transfer Size of in-capsule data. The response capsule size shall be 16 bytes and shall not contain in-capsule data.

The RDMA Transport facilitates the use of separate locations for SGLs and data (refer to section 2.3.1).

Capsule Type	Capsule Size	SGL Type
Fabrics and Admin Commands	64 bytes	Host-resident data buffer only
Fabrics and Admin Responses	16 bytes	n/a
I/O Queue Command	64 bytes to MDTS	Host-resident data buffer or in-capsule data; maximum size up to the Maximum Data Transfer Size indicated in the Identify Controller data structure
I/O Queue Response	16 bytes	n/a

Figure 39: RDMA Capsule Size and SGL Mapping

Admin command data is transferred using host-resident data buffers specified in Keyed SGL Data Block descriptor entries. I/O command data is transferred using host-resident data buffers specified in Keyed SGL Data Block descriptor entries or within the capsule. The RDMA Transport supports the SGL Data Block, SGL Last Segment, and Keyed SGL Data Block descriptors only. The RDMA Transport does not support SGLs in host memory; all SGLs shall be contained in the command capsule. Fabrics and Admin commands have one (Keyed) SGL Data Block descriptor (i.e., there are no SGL descriptors following the Submission Queue Entry). I/O commands may have more than one SGL descriptor.

There are SGL Descriptor Sub Type values that are specific to RDMA operation as defined in Figure 40.

Figure 40: SGL Sub Types Specific to RDMA

Descriptor Types	Sub Type	Sub Type Description
All	Ah – Eh	Reserved
Keyed SGL Data Block (4h)	Fh	<b>Invalidate Key:</b> The host uses this Sub Type to specify that the controller should remotely invalidate the RKEY. If the controller does not support remote invalidate then this Sub Type is ignored.

### 7.3.3 Queue Mapping

A single I/O Submission Queue and I/O Completion Queue pair shall be mapped to a single RDMA Queue Pair. Multiplexing multiple I/O Submission Queues and I/O Completion Queues onto a single RDMA Connected Queue pair is not supported. Spanning a single I/O Submission Queue and I/O Completion Queue pair across multiple RDMA Queue pairs is not supported.

# 7.3.4 Capsule and Data Exchange

Capsule exchanges are performed using the RDMA\_SEND or RDMA\_SEND\_INVALIDATE operations. The RDMA Transport at the host uses RDMA\_SEND to transmit command capsules. The RDMA Transport at the controller uses RDMA\_SEND or RDMA\_SEND\_INVALIDATE to transmit response capsules and optionally invalidate a host memory key. An RDMA\_SEND contains at most one command or response capsule.

All RDMA\_READ and RDMA\_WRITE operations are initiated by the controller. Data transfers from a controller to a host are performed using the RDMA\_WRITE operation. Data transfers from a host buffer to a controller buffer are performed using the RDMA\_READ operation. Data for the Fabrics, Admin, or I/O command may also be exchanged from the host to the controller using a single RDMA\_SEND operation that contains the command capsule and in-capsule data within the RDMA\_SEND message payload.

Host memory buffer addresses are communicated in the command's SGL entries. Host memory buffer addresses are represented as the combination of a memory key, offset, and length. The host is responsible for allocating the memory buffers, registering the keys, and constructing the SGL entries with the associated memory buffer address.

To ensure proper command data to command completion ordering, all RDMA\_WRITE data transfer operations for a command shall be submitted onto the same RDMA QP prior to submitting the associated response capsule onto the same RDMA QP.

The detailed flow of the command sequences using RDMA operations is shown in Figure 41.

Data Transfer Direction	Command Sequence Using RDMA Operations	
No data to transfer	<ul> <li>The host transmits the command capsule to the controller using an RDMA_SEND operation.</li> <li>Command action completed by the controller.</li> <li>The controller transmits the response capsule to the host using an RDMA_SEND operation.</li> <li>The same RDMA QP shall be used for both RDMA_SEND operations.</li> </ul>	
Controller to host	<ul> <li>The host transmits the command capsule to the controller using an RDMA_SEND operation. The capsule contains or points to SGL(s) required for the data transfer.</li> <li>The controller uses RDMA_WRITE operation(s) to transfer data from the controller to the host. Each RDMA_WRITE is associated with one keyed remote host memory buffer (SGL) and one or more local controller memory buffer(s).</li> <li>The controller transmits the response capsule to the host using an RDMA_SEND or (optionally) RDMA_SEND_INVALIDATE operation.</li> <li>The same RDMA QP shall be used for the RDMA_WRITE operation(s) and the RDMA_SEND operation.</li> </ul>	
Host to controller	<ul> <li>The host transmits the command capsule to the controller using an RDMA_SEND operation. The capsule contains or points to SGL(s) required for the data transfer. The capsule may contain in-capsule data, which is pointed to by an offset address in an SGL within the capsule.</li> <li>For host-resident command data, the controller uses RDMA_READ operations to transfer the data from the host to the controller. Each RDMA_READ is associated with one keyed remote memory buffer (SGL) and one or more local memory buffer(s).</li> <li>The controller transmits the response capsule to the host using an RDMA_SEND or (optionally) RDMA_SEND_INVALIDATE operation.</li> <li>The same RDMA QP shall be used for the RDMA_READ operation(s) and the RDMA_SEND operation.</li> </ul>	

Figure 41: Command Sequence Using RDMA Operations

# 7.3.5 Keep Alive Settings

Keep Alive functionality is not supported by all RDMA provider types at the RDMA Transport layer. As a result, the RDMA Transport requires the use of the Keep Alive Feature (refer to section 5.15.1.14 in the NVMe Base specification). It is recommended that any RDMA provider level functionality be disabled to avoid redundant and conflicting policies.

The RDMA Transport does not impose any limitations on the minimum and maximum Keep Alive Timeout value. The minimum should be set large enough to account for any transient fabric interconnect failures between the host and controller.

#### 7.3.6 Setup and Initialization

#### 7.3.6.1 Transport Specific Address Subtype

The Discovery Log Entry includes a Transport Specific Address Subtype (TSAS) field that is defined in Figure 42 for the RDMA Transport.

Byte	Description			
	RDMA QP Service Type: (RDMA_QPTYPE): Specifies the type of RDMA Queue Pair. Valid			
	values are show	n in the following table:		
	Value	Definition		
00	00	Reserved		
	01	Reliable Connected		
	02	Reliable Datagram		
	255:03	Reserved		
	RDMA Provider Type: (RDMA_PRTYPE): Specifies the type of RDMA provider. Va			
	are shown in the	e following table:		
	Value	Definition		
	00	Reserved		
01	01	No provider specified		
01	02	InfiniBand		
	03	InfiniBand RoCE		
	04	InfiniBand RoCEV2		
	05	iWARP		
	255:06	Reserved		
	RDMA Connec	ction Management Service: (RDMA_CMS): Specifies the type of RDMA		
	Connection Mar	nagement Service. Valid values are shown in the following table:		
	Value	Definition		
02	00	Reserved		
02	01	RDMA_CM. Sockets based endpoint addressing. For details on the RDMA		
		IP CM Service, refer to the InfiniBand Trade Association specification		
		Annex A11 or the WARP specification.		
	255:02	Reserved		
07:03	Reserved			
09:08	<b>RDMA_PKEY:</b> Specifies the Partition Key when AF_IB (InfiniBand) address family type is used. Otherwse this field is reserved.			
255:10	Reserved			

Figure 42: Transport Specific Address Subtype Definition for RDMA Transport

# 7.3.6.2 Fabric Dependent Settings

As part of the RDMA QP creation, the host and remote-peer exchange the RDMA\_READ resources. This exchange is typically facilitated by the RDMA Provider.

The host RDMA Transport sets the Inbound RDMA Read (IRD) value and passes it to the remote-peer using these facilities. The remote-peer uses the host's IRD value to limit the number of RDMA\_READ operations it issues to the host. Exceeding this limit may result in an RDMA QP error. The remote-peer returns an IRD value of zero to indicate that any host initiated RDMA\_READ operations results in an RDMA QP error.

The host RDMA Transport sets the value of RNR\_RETRY based on the RDMA provider capability. If the RDMA provider supports RNR\_RETRY, then the host should set RNR\_RETRY to infinite (value of 7). If the RDMA provider does not support RNR\_RETRY, then the host should set the RNR\_RETRY to zero. The remote-peer shall match the host RNR\_RETRY setting or fail the QP creation.

Use of RDMA Private Data for the exchange of NVMe parameters:

Byte	Description
01:00	<b>Record Format (RECFMT):</b> Specifies the format of the RDMA Private Data. If a new format is defined, this value is incremented by one. The format of the record specified in this definition shall be 0h.
03:02	Queue ID (QID): Refer to the Queue ID definition in the Connect command in Figure 19.
05:04	<b>RDMA QP Host Receive Queue Size (HRQSIZE):</b> This field indicates the number of RDMA QP receive queue entries allocated by the host's RDMA Transport for capsule reception.
07:06	<b>RDMA QP Host Send Queue Size (HSQSIZE):</b> This field indicates the number of RDMA QP send queue entries allocated by the host's RDMA transport for capsule transmission. The value shall be set to the Submission Queue Size (SQSIZE). Refer to the SQSIZE definition in the Connect command in Figure 19.
31:08	Reserved

#### Figure 43: RDMA\_CM\_REQUEST Private Data Format

# Figure 44: RDMA\_CM\_ACCEPT Private Data Format

Byte	Description
01:00	<b>Record Format (RECFMT):</b> Specifies the format of the RDMA Private Data. If a new format is defined, this value is incremented by one. The format of the record specified in this definition shall be 0h.
03:02	<b>RDMA QP Controller Receive Queue Size (CRQSIZE):</b> This field indicates the number of RDMA QP receive queue entries allocated by the controller's RDMA Transport for capsule reception. RDMA Transports that use RNR_RETRY flow control may set this entry to be less than or equal to the value of HSQSIZE specified in Figure 43. RDMA Transports that do not use RNR_RETRY shall set this value to be equal to the value of HSQSIZE specified in Figure 43.
31:04	Reserved

#### Figure 45: RDMA\_CM\_REJECT Private Data Format

Byte	Description
01:00	<b>Record Format (RECFMT):</b> Specifies the format of the RDMA Private Data. If a new format is defined, this value is incremented by one. The format of the record specified in this definition shall be 0h.
03:02	<b>Status (STS):</b> Specifies status for the associated RDMA_CM_REQUEST that is paired with this reject response. The valid status values are specified in Figure 46.

#### 7.3.7 Key Management

An RDMA Memory Key (RKEY) is an identifier that associates a data buffer in host memory with a registered "remote access enabled" RDMA memory region or memory window on an RDMA NIC (RNIC) attached to a host. The host NVMe RDMA Transport software manages the creation of RKEYs, association of the RKEYs to data buffers, insertion of RKEYs into SGL entries, and invalidation of the RKEYs upon completion of Fabrics, Admin, or I/O commands. Refer to section 4.4 in the NVMe Base specification for the definition of the Keyed SGL Data Block descriptor.

Commands that require data transfers between a host memory buffer and the controller shall use SGLs that contain a full RDMA host address tuple consisting of an RKEY, Offset, and Length. The host NVMe RDMA Transport is responsible for allocating this tuple by registering the associated data buffers with the appropriate RNIC to produce the RKEY and then subsequently inserting the RKEY, Offset, and Length into the SGL entries associated with the command. The same RKEY may be used in multiple SGL entries associated with the same Fabrics, Admin, or I/O command. The RKEY shall be invalidated only after any RDMA\_READ or RDMA\_WRITE operations have been completed that use the RKEY.

The host RDMA Transport software selects one of two methods to invalidate the RKEY: local invalidate or remote invalidate. To indicate a remote invalidate, the host sets the Sub Type field in the Keyed SGL Data

Block descriptor to Fh (refer to Figure 40). If the controller RDMA Transport does not support remote invalidate, then the host's request for remote invalidation is ignored.

The controller RDMA Transport may or may not honor the remote invalidate request. If honored, the controller RDMA Transport invalidates the RKEY by using the RDMA\_SEND\_INVALIDATE operation to return the capsule response. If the command capsule contains multiple SGL entries with the remote invalidate bit set, the controller RDMA Transport shall only invalidate the RKEY in the last Keyed SGL Data Block descriptor.

The host RDMA Transport shall check the RDMA receive completion to determine if the RDMA\_SEND\_ INVALIDATE was received and checks the value of the RKEY that was invalidated. If the controller RDMA Transport did not invalidate the RKEY as requested, the host is responsible for invalidating the RKEY using a local invalidate operation.

#### 7.3.8 Error Handling

#### 7.3.8.1 RDMA Transport Errors

Errors detected by the RDMA Transport may result in the termination of any command capsule, response capsule, or data transfer operations and may result in the tear down of the RDMA QP(s). The RDMA Transport may detect errors that are not directly associated with a capsule or data transfer operation, e.g. tear down of the RDMA QP due to connection loss, data corruption, or protection error. In the case of a RDMA QP tear down, the RDMA Transport is responsible for terminating the RDMA QP, freeing up any NVMe Transport resources, and then informing the NVMe layer about the termination and the associated cause.

Errors detected by the RDMA Transport during RDMA QP establishment are handled within the RDMA Transport and are not reported to the NVMe layer. These errors are described in Figure 46.

Value	Description
1h	RDMA Invalid Private Data Length: The host sent an incorrect private_data size.
2h	RDMA Invalid RECFMT: The host sent an invalid RECFMT.
3h	RDMA Invalid QID: The host sent an invalid QID.
4h	RDMA Invalid HSQSIZE: The host sent an invalid HSQSIZE.
5h	RDMA Invalid HRQSIZE: The host sent an invalid HRQSIZE.
6h	RDMA No Resources: The controller-side RDMA transport is unable to create the RDMA QP due
	to lack of resources.
7h	RDMA Invalid IRD: The host sent an invalid IRD value.
8h	RDMA Invalid ORD: The host sent an invalid ORD value.
9h – FFh	Reserved

Figure 46: RDMA Transport Errors

#### 7.3.8.2 RDMA Provider Errors

Errors detected by the RDMA Provider are communicated to the local NVMe RDMA Transport through implementation specific interfaces, e.g., RDMA Verbs. RDMA Providers may have facilities to communicate errors to the RDMA QP remote peer. Details of these facilities or their use is outside the scope of this specification. Details on the types of errors and their associated identification encoding is contained within the RDMA Provider specifications.